

Request for Proposal (RFP)

**Empanelment of Law Firms and Individual Advocates
with State Transformation Commission, Uttar Pradesh**

Bid Inviting Authority

Chief Executive officer

**State Transformation Commission Government of UP Frist Floor
Yojna Bhawan Lucknow UP**

RFP Reference No.: -----5/5/35-STC-2/2026-17 TC

Date of Issue: ----- 27-April-2026

Abstract

- **Name of Assignment:** Request for Proposal for Empanelment of Law Firms and Individual Advocates with State Transformation Commission, Uttar Pradesh (STC-UP)
- **Availability of Bid Documents:** 27 /04/2026 to 19 /05/2026 up to 17:00 Hrs.
- **Last Date and Time for Physical Submission of Technical Bids (Hard Copies):** 19 /05/2026 at 15:00 Hrs.
- **Opening of Technical Bids:** 20 /05/2026 at 15:30 Hrs.
- **Presentation of Bidders:** Will be informed later.
- **Place of Submission of Technical Bids, and Opening of Bids:** Office of Chief Executive Officer, State Transformation Commission, Lucknow, Uttar Pradesh.
- **Bid Validity:** 180 days from last date of physical submission of Bid.

Address of the Commission:

Chief Executive Officer

**State Transformation Commission, Government of Uttar Pradesh, First
Floor Yojna Bhawan Lucknow, Uttar Pradesh.**

Disclaimer

This Request for Proposal (RFP) is issued solely for the purpose of empanelment of law firms and individual advocates with the State Transformation Commission, Uttar Pradesh (STC-UP). This RFP does not constitute an agreement or an offer and is not intended to create any binding legal relationship. The information contained herein is provided to assist prospective bidders in preparing their proposals and does not purport to contain all the information each bidder may require. STC-UP reserves the right to amend, modify, suspend, cancel, or reissue this RFP, in whole or in part, at any time without assigning any reason or incurring any liability. STC-UP also reserves the right to accept or reject any or all proposals, to annul the bidding process, and to reject all proposals at any time prior to award of contract, without thereby incurring any liability to the affected bidders or any obligation to inform the affected bidders of the grounds for such decision. Bidders shall bear all costs associated with the preparation and submission of their proposals and STC-UP shall not be responsible or liable for those costs, regardless of the conduct or outcome of the bidding process. Furthermore, STC-UP makes no representation or warranty, express or implied, as to the accuracy, reliability, or completeness of the information contained in this RFP. Each bidder is responsible for conducting its own due diligence and independent verification of all information contained herein. By submitting a proposal, the bidder agrees to be bound by the terms and conditions set forth in this RFP and acknowledges the disclaimers and limitations of liability herein.

Invitation for Bid

The State Transformation Commission, Uttar Pradesh (STC-UP), hereby invites sealed bids from eligible and qualified law firms and individual advocates for empanelment to provide comprehensive legal advisory, drafting, and research services. This empanelment aims to support STC-UP's transformative initiatives in governance, infrastructure development, finance, and related sectors.

Eligible bidders should demonstrate proven expertise, a strong track record of legal service delivery, and capacity to handle complex legal matters including arbitration, dispute resolution, contract management, and experience in dealing with government and public sector undertaking land matters.

Bidders are required to submit their technical proposals in physical form, duly sealed and clearly marked, to the office of the Chief Executive Officer, STC-UP, Lucknow, by the stipulated deadline. Proposals received after the deadline will be summarily rejected and will not be considered under any circumstances.

Technical proposals may also be submitted online or via email to ceostc.up@gmail.com. In such cases, the financial bid must be submitted as a separate password-protected document to ensure confidentiality.

A pre-bid meeting will be convened to address bidder queries and provide clarifications. Details of the pre-bid meeting, including date, time, and venue, will be communicated separately to all prospective bidders.

STC-UP reserves the right to accept or reject any or all bids, wholly or partly, without assigning any reason, and to annul the bidding process at any stage without incurring any liability.

Bidders must ensure compliance with all terms and conditions specified in this RFP. Submission of a bid shall be deemed to constitute acceptance of all terms and conditions contained herein.

For any queries or submission-related assistance, bidders may contact the Bid Inviting Authority at ceostc.up@gmail.com. bidders must ensure compliance with all terms and conditions specified in this RFP. Submission of a bid shall be deemed to constitute acceptance of all terms and conditions contained herein.

1. Introduction

The State Transformation Commission, Uttar Pradesh (STC-UP), is mandated to drive governance reforms, infrastructure modernization, and policy transformation. To support its initiatives, STC-UP seeks to empanel law firms and individual advocates with strong credentials and experience in providing legal services across diverse domains.

Under STC-UP, a dedicated Land Asset Unlocking Cell has been established. The objective of this cell is to identify closed Public Sector Undertakings (PSUs) of the Government of India for the redevelopment of their land assets. This involves a thorough study of the land details, including any disputes, matters pending with the office of the liquidator, and other relevant issues. Following this assessment, the land is proposed to be handed back to the State Government for redevelopment in accordance with the approved product mix and allowable land use regulations.

This empanelment aims to engage legal experts who can assist the Land Asset Unlocking Cell in navigating complex legal challenges related to government and PSU land, ensuring compliance with applicable laws and facilitating smooth redevelopment processes efficiently. STC-UP plays a pivotal role in shaping the future trajectory of Uttar Pradesh's socio-economic landscape.

To effectively support its wide-ranging initiatives, STC-UP seeks to empanel highly qualified law firms and individual advocates who possess robust credentials, extensive experience, and demonstrated expertise in delivering specialized legal services. The empanelled legal professionals will be instrumental in providing advisory, drafting, and representation services across diverse legal domains, including but not limited to governance, infrastructure development, finance, regulatory compliance, dispute resolution, and public sector undertakings.

This empanelment aims to build a panel of trusted legal partners capable of navigating complex legal challenges, ensuring compliance with statutory and regulatory frameworks, and facilitating the Commission's transformative agenda with legal rigor and strategic insight. The selected law firms and advocates will collaborate closely with STC-UP to provide timely, accurate, and effective legal support essential for the successful implementation of its projects and policies.

2. Scope of Services

The empanelled law firms and individual advocates will provide comprehensive legal services including, but not limited to:

- Drafting, reviewing, and vetting RFPs, agreements, deeds, MoUs, and other legal documents.
- Conducting detailed legal and techno-legal research on national and international laws, regulations, and policies relevant to governance, infrastructure, finance, social development, and land asset management.
- Providing expert legal opinions, advisory services, and strategic counsel.
- Assisting in stakeholder consultations, regulatory submissions, and compliance matters.
- Supporting the Land Asset Unlocking Cell under STC-UP by studying and resolving complex legal issues related to closed Public Sector Undertakings (PSUs) land, including disputes, liquidation matters, and transfer processes.
- Advising on legal frameworks and procedures for land redevelopment in accordance with applicable land use regulations and product mix guidelines.
- Facilitating coordination with government agencies, liquidators, and other stakeholders to ensure smooth legal clearance and redevelopment.
- Representing STC-UP in arbitration, litigation, and dispute resolution related to land assets and other commission activities.
- Any other legal work as required by STC-UP to support its transformative agenda and land asset unlocking initiatives.

3. Contract Duration and Renewal

- The empanelment will be for a period of **three (3) years** from the date of award of the contract. The contract period may be extended further for a maximum of two additional years in one or more increments, subject

to satisfactory performance, mutual consent, and the requirements of STC-UP.

- During the contract period, the empanelled law firms and advocates are expected to provide continuous legal support and advisory services as per the scope defined in this RFP. The contract may be terminated earlier by STC-UP in case of breach of terms, unsatisfactory performance, or other valid reasons, with due notice.
- Renewal or extension of the contract will be based on a formal review of the performance, quality of services rendered, and compliance with contractual obligations. STC-UP reserves the right to modify the terms and conditions of the contract upon renewal.
- All contractual obligations, including confidentiality, conflict of interest, and compliance with applicable laws, shall remain in force throughout the contract period and any extensions thereof.

4. Bidding Process

The bidding process for this empanelment will be conducted through a one-stage, two-envelope system focusing on the technical proposal evaluation. Bidders are required to submit their technical proposals in sealed physical form by the stipulated deadline. Additionally, provisions are made for online submission of technical proposals via email to ceostc.up@gmail.com. In the case of online or email submissions, the financial bid must be submitted as a separate password-protected document to maintain confidentiality.

Key steps in the bidding process include:

- **Submission of Bids:** Physical submission of sealed technical proposals at the STC-UP office or online/email submission as specified.
- **Bid Validity:** All bids must remain valid for a period of 180 days from the last date of submission.
- **Opening of Technical Bids:** Technical bids will be opened on the specified date and time at the STC-UP office.
- **Pre-Bid Meeting:** A pre-bid meeting will be held to address queries and provide clarifications to prospective bidders.
- **Evaluation:** Bids will be evaluated based on the quality criteria outlined in this RFP, including experience, expertise, and compliance with eligibility requirements.
- **Confidentiality:** Financial bids submitted online must be password protected to ensure confidentiality and integrity.

STC-UP reserves the right to accept or reject any or all bids, annul the bidding process, or modify the process at any stage without incurring any liability.

5. Eligibility Criteria

The empanelment is open to law firms and individual advocates who meet the following detailed eligibility criteria to ensure capability, experience, and reliability in handling complex legal matters, especially related to government and public sector undertaking land issues:

- The applicant must be a registered legal entity in India with a functional office or branch in Lucknow or elsewhere in Uttar Pradesh. Individual advocates applying may be based outside Uttar Pradesh.
- The firm or advocate should have a minimum of 5 years of continuous existence and practice in the legal domain.
- Demonstrated experience in providing legal services to government departments, public sector undertakings (PSUs), or related entities.
- Proven expertise in drafting, reviewing, and advising on at least 25 legal documents related to government land issues, Concession Agreements, FIDIC-based EPC Agreements, governance, infrastructure, finance, or allied sectors within the last three years.
- Experience in legal advisory and representation in arbitration and dispute resolution, including complex contractual disputes arising from Concession Agreements and FIDIC-based EPC Agreements.
- Specific experience handling matters related to government and PSU land, including disputes, liquidation processes, and redevelopment issues.
- Familiarity with legal procedures involving office of liquidators, government land acquisition, and redevelopment regulations.
- Experience representing clients such as National Highways Authority of India (NHAI), Airports Authority of India (AAI), Air India, Indian Railways, Bharat Sanchar Nigam Limited (BSNL), Power Grid Corporation of India Limited, Steel Authority of India, and other public sector entities.
- Experience in handling international and domestic commercial arbitration cases before reputed institutions such as ICC, SIAC, LCIA, DIAC, and MCIA.
- Capability to provide comprehensive legal support including advisory, drafting, litigation, arbitration, and coordination with government agencies and liquidators.
- Both individual advocates and law firms with relevant credentials and proven track records are eligible to apply.

These criteria are designed to ensure that the empaneled legal professionals possess the necessary expertise and experience to effectively support STC-UP's transformative initiatives, particularly the Land Asset Unlocking Cell's mandate to identify, study, and facilitate redevelopment of closed PSU land assets.

- Registered entity in India with office/branch in Lucknow or Uttar Pradesh.
- At least 5 years of existence (Not in case of Individual)
- Experience with government departments/PSUs.
- Proven record of drafting/reviewing at least 25 legal documents in Government Land related issues, Concession Agreement, FIDIC based EPC Agreements, governance, infrastructure, finance, or related sectors in the last five years.
- Experience of legal advisory and representation in arbitration and dispute resolution, including complex contractual disputes under Concession Agreements and FIDIC based EPC Agreements, especially for clients such as National Highways Authority of India (NHAI), Airports Authority of India (AAI), Air India, and Indian Railways.
- Experience in handling international and domestic commercial arbitration cases before institutions such as ICC, SIAC, LCIA, DIAC, and MCIA.
- Experience representing public sector entities like Airports Authority of India, Bharat Sanchar Nigam Limited, Power Grid Corporation of India Limited, and Steel Authority of India in arbitration and litigation related to contract, service, and labour laws.
- Experience in commercial and civil litigation, arbitration, and dispute resolution, including handling complex contractual disputes emerging from Concession Agreements and FIDIC based EPC Agreements.
- Experience representing clients such as National Highways Authority of India (NHAI), Airports Authority of India (AAI), Air India, Indian Railways, and other public sector entities.
- Experience with arbitration institutions including International Chambers of Commerce (ICC), , Delhi International Arbitration Centre (DIAC), and Mumbai Centre for International Arbitration (MCIA).
- Empanelment eligibility extended to both individual advocates and law firms with relevant experience and credentials in the above areas.

6. Technical Bid Evaluation Criteria

The evaluation of technical bids will be based on the following criteria aligned with the detailed eligibility requirements to ensure selection of qualified and experienced legal professionals capable of supporting STC-UP's mandate:

- **Existence and Experience:**
 - More than 7 years of continuous legal practice: 10 marks
 - 5 to 7 years of practice: 5 marks
- **Office Presence: (Not Required for Individual Advocates)**
 - Registered office or branch in Lucknow or elsewhere in Uttar Pradesh: 15 marks
 - No office in Uttar Pradesh: 0 marks
- **Turnover: (Not Required for Individual Advocates)**
 - Annual turnover above INR 5 crores: 10 marks
 - Annual turnover between INR 2 crores to 5 crores: 5 marks
 - Below INR 2 crores: 0 marks
- **Relevant Document Drafting and Review Experience:**
 - Drafted/reviewed 25 or more legal documents related to government land, Concession Agreements, FIDIC-based EPC Agreements, governance, infrastructure, finance, or related sectors in the last 5 years: 30 marks
 - Drafted/reviewed 10 to 24 such documents: 15 marks
 - Less than 10 documents: 5 marks
- **Legal Advisory and Representation Expertise:**
 - Experience in arbitration, dispute resolution, and representation of government/PSU clients: 25 marks
 - Limited or no such experience: 0 marks
- **Manpower Competency(Not required for Individual Consultant)**
 - Availability of qualified legal professionals with relevant expertise: 10 marks
 - Limited manpower: 5 marks
- **Presentation and Demonstration of Capability:**
 - Quality and clarity of presentation during evaluation: 20 marks

Qualifying Marks for Presentation -70%

3. Retainer Fees-

Empanelled Entity/ Individual will be paid Rs 100000.00 (I Lakh Only) Per Month as retainer ship fees.

- **Reimbursement Basis:** Clerkage, photocopying, and court fees are usually reimbursed at actuals upon submission of receipts, up to a capped limit (e.g., 10% of the monthly fee).
- **Travel:** For visits to land sites outside Lucknow, travel is usually reimbursed as per State Government (Grade-A officer) norms.

7. Right to Accept or Reject Bids

- The State Transformation Commission, Uttar Pradesh (STC-UP) reserves the absolute right to accept or reject any or all bids, either wholly or partly, at any stage of the bidding process without assigning any reason or incurring any liability. This includes the right to annul the bidding process and reject all bids prior to the award of the contract.
- STC-UP may exercise this right in the interest of transparency, fairness, and public policy, ensuring that the selection process aligns with its objectives and statutory obligations.
- The Commission is not bound to accept the lowest bid or any bid and may consider factors such as bidder's experience, technical capability, compliance with eligibility criteria, and overall suitability for the empanelment.
- By submitting a bid, bidders acknowledge and accept that STC-UP's decision in this regard shall be final and binding.
- STC-UP also reserves the right to negotiate terms and conditions with the selected bidder(s) before finalizing the contract.
- No bidder shall have any claim against STC-UP for rejection of their bid or for any decision taken in the evaluation process.
- This clause shall override any other provisions in this RFP inconsistent with the rights reserved herein.
- STC-UP reserves the right to accept or reject any or all bids without assigning reasons.

Annex I: Form of Bid

(To be submitted on the letterhead of the Bidder)

To,

Chief Executive Officer,

State Transformation Commission, Government of Uttar Pradesh, Lucknow,
Uttar Pradesh.

**Subject: Submission of Bid for Empanelment of Law Firms and Individual
Advocates with State Transformation Commission, Uttar Pradesh**

Dear Sir/Madam,

We, the undersigned, hereby submit our bid in response to the Request for Proposal (RFP) Ref. No. STC/UP/RFP/LEGAL/2026/01 dated April 2026 for empanelment of law firms and individual advocates with the State Transformation Commission, Uttar Pradesh.

We have carefully read and understood the terms and conditions of the RFP and hereby agree to abide by them.

We confirm that the information provided in this bid and its annexures is true and correct to the best of our knowledge and belief.

We understand that STC-UP reserves the right to accept or reject any or all bids without assigning any reason.

We undertake to provide legal services as per the scope defined in the RFP and agree to the contract terms including the contract duration and renewal provisions.

We also confirm that we meet all the eligibility criteria specified in the RFP.

Please find enclosed the required documents in support of our bid.

We look forward to the opportunity to work with STC-UP and contribute to its transformative initiatives.

Thanking you,

Yours faithfully,

(Signature of Authorized Signatory)

Name: _____

Designation: _____

Firm/Advocate Name: _____

Address: _____

Contact Number: _____

Email ID: _____

Date: _____

Place: _____

Annex II: Registration Form for Legal Firms / Individual Advocates

(To be submitted on the letterhead of the Firm / Individual Advocate)

1. Name of the Firm / Individual Advocate:

2. Registered Address:

3. Office Address in Uttar Pradesh (if different):

4. Contact Details:

- Phone:
- Mobile:
- Email:

5. Date of Establishment / Enrollment:

6. Registration Number and Authority:

7. PAN Number:

8. GST Number (if applicable):

9. Details of Partners / Advocates (for firms):

- Name:
- Qualification:
- Years of Experience:
- Areas of Expertise:

10. Details of Individual Advocate (if applicable):

- Qualification:
- Years of Practice:
- Areas of Expertise:

11. Experience in Handling Government / PSU Land Matters:

- Brief description of relevant cases / assignments:

12. Empanelment with Government / PSUs (if any):

- Name of the Department / PSU:
- Duration of Empanelment:

13. Details of Arbitration / Litigation Experience:

- Types of cases handled:
- Institutions involved (e.g., ICC, SIAC, LCIA, DIAC, MCIA):

14. Declaration:

I hereby declare that the information provided above is true and correct to the best of my knowledge and belief. I understand that any false information may lead to disqualification from the empanelment process.

(Signature of Authorized Signatory)

Name: _____

Designation: _____

Firm / Advocate Name: _____

Date: _____

Place: _____

Annex III: Financial Data Format

(To be submitted on the letterhead of the Bidder)

1. Name of the Firm / Individual Advocate:

2. Registered Address:

3. Contact Details:

- Phone:
- Mobile:
- Email:

4. Financial Year:

5. Annual Turnover (INR):

Financial Year Annual Turnover (INR)

2023-2024

2022-2023

2021-2022

6. Net Profit (INR):

Financial Year Net Profit (INR)

2023-2024

2022-2023

2021-2022

7. Details of Auditors:

- Name of Auditor:
- Address:
- Contact Details:

8. Declaration:

I hereby declare that the financial information provided above is true and correct to the best of my knowledge and belief. I understand that any false information may lead to disqualification from the empanelment process.

(Signature of Authorized Signatory)

Name: _____ \

Designation: _____ \

Firm / Advocate Name: _____ \

Date: _____ \

Place: _____ \